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**FORM PTO – 1449** 

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: SNS-006CP1 (7268/10)

APPLICANTS:

Shih et al.

APR 01 2002

SERIAL NO.: 09/347,637

July 6 1999

Technology Center 2600

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| EXAM.<br>INIT. | DOCUMENT<br>NUMBER |           | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------|--------------------|-----------|----------|-------------------|-------|----------|-------------------------------|
| ECS            | A1                 | 2,475,484 | 07/05/49 | DeNise            | 318   | 628      | 05/14/46                      |
| 1              | A2                 | 3,168,203 | 02/01/65 | Gallistel         | 214   | 1        | 07/07/60                      |
|                | A3                 | 3,263,824 | 08/02/66 | Jones et al.      | 214   | 1        | 12/20/63                      |
| 1              | A4                 | 3,449,008 | 06/10/69 | Colechia          | 294   | 88       | 06/08/67                      |
| 1              | A5                 | 3,531,868 | 10/06/70 | Stevenson         | 33    | 174      | 04/18/68                      |
| $\top$         | A6                 | 3,618,786 | 11/09/71 | Fick              | 214   | 1CM      | 01/02/69                      |
| $\top$         | A7                 | 3,637,092 | 01/01/72 | George et al.     | 214   | 1CM      | 04/30/70                      |
| _              | A8                 | 3,920,972 | 11/18/75 | Corwin et al.     | 235   | 151.1    | 07/16/74                      |
| +              | A9                 | 3,944,798 | 03/16/76 | Eaton             | 235   | 161.3    | 04/18/74                      |
|                | A10                | 4,062,455 | 12/13/77 | Flatau            | 214   | 1        | 11/01/76                      |
|                | A11                | 4,150,803 | 04/24/79 | Fernandez         | 244   | 135A     | 10/05/77                      |
|                | A12                | 4,216,467 | 08/05/80 | Colston           | 340   | 365L     | 12/22/77                      |
|                | A13                | 4,302,138 | 11/24/81 | Zarudiansky       | 414   | 5        | 01/22/79                      |
| 1              | A14                | 4,367,532 | 01/04/83 | Crum et al.       | 364   | 513      | 12/24/80                      |
| †              | A15                | 4,420,808 | 12/13/83 | Diamond et al.    | 364   | 434      | 01/18/82                      |
|                | A16                | 4,521,685 | 06/04/85 | Rebman            | 250   | 229      | 03/01/82                      |
|                | A17                | 4,604,016 | 08/05/86 | Joyce             | 414   | 7        | 08/03/83                      |
|                | A18                | 4,632,341 | 12/30/86 | Repperger et al.  | 244   | 230      | 02/06/85                      |
|                | A19                | 4,638,798 | 01/27/87 | Shelden et al.    | 128   | 303B     | 09/10/80                      |
|                | A20                | 4,653,011 | 03/24/87 | Iwano             | 364   | 513      | 03/27/85                      |
|                | A21                | 4,654,648 | 03/31/87 | Herrington et al. | 340   | 710      | 12/17/84                      |
| .}             | A22                | 4,655,673 | 04/07/87 | Hawkes            | 414   | 730      | 05/10/83                      |
| ECS            | A23                | 4,661,032 | 04/28/87 | Arai              | 414   | 5        | 12/18/85                      |

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| ECS | A24   | 4,670,851  | 06/02/87 | Murakami et al.  | 364 | 518    | 10/22/84 |
|-----|-------|------------|----------|------------------|-----|--------|----------|
| 1   | A25 . | 4,676,002  | 06/30/87 | Slocum           | 33  | 1 MP   | 12/20/85 |
|     | A26 _ | 4,680,519  | 07/14/87 | Chand et al.     | 318 | 568    | 09/23/85 |
|     | A27 ι | 4,703,443  | 10/27/87 | Moriyasu         | 364 | 559    | 02/07/85 |
|     | A28 - | 4,729,098  | 03/01/88 | Cline et al.     | 364 | 414    | 06/05/85 |
|     | A29 · | 4,769,763  | 09/06/88 | Trieb et al.     | 364 | 559    | 06/16/86 |
|     | A30 . | 4,791,934  | 12/20/88 | Brunnett         | 128 | 653    | 08/07/86 |
|     | A31 - | 4,795,296  | 01/03/89 | Jau              | 414 | 5      | 10/17/86 |
|     | A32   | 4,800,721  | 01/31/89 | Cemenska et al.  | 60  | 393    | 02/13/87 |
|     | A33   | 4,819,195  | 04/04/89 | Bell et al.      | 364 | 571.1  | 01/20/87 |
|     | A34   | -4,823,634 | 04/25/89 | Culver           | 74  | 471    | 11/03/87 |
|     | A35   | .4,837,734 | 06/06/89 | Ichikawa et al.  | 364 | 513    | 02/26/87 |
|     | A36   | 4,839,838  | 06/13/89 | LaBiche et al.   | 364 | 709.1  | 03/30/87 |
|     | A37   | 4,853,874  | 08/01/89 | Iwamoto et al.   | 364 | 513    | 11/20/87 |
|     | A38   | 4,888,538  | 12/19/89 | Dimitrov et al.  | 318 | 675    | 01/13/88 |
|     | A39   | 4,893,981  | 01/16/90 | Yoshinada et al. | 414 | 5      | 03/26/87 |
|     | A40   | 4,907,970  | 03/13/90 | Meenen, Jr.      | 434 | 45     | 03/30/88 |
|     | A41   | 4,907,973  | 03/13/90 | Hon              | 434 | 262    | 11/14/88 |
|     | A42   | 4,942,538  | 07/17/90 | Yuan et al.      | 364 | 513    | 02/23/89 |
|     | A43   | 4,945;305  | 07/31/90 | Blood            | 324 | 207.2  | 04/11/89 |
|     | A44   | 4,945,501  | 07/31/90 | Bell et al.      | 364 | 571.05 | 04/12/89 |
|     | A45   | 4,961,138  | 10/02/90 | Gorniak          | 364 | 200    | 10/02/89 |
|     | A46   | 4,973,215  | 11/27/90 | Karlen et al.    | 414 | 729    | 02/14/89 |
|     | A47   | 4,982,504  | 01/08/91 | Söderberg et al. | 33  | 502    | 02/17/89 |
|     | A48   | 4,988,981  | 01/29/91 | Zimmerman et al. | 340 | 709    | 02/28/89 |
| Ecs | A49   | 5,004,391  | 04/02/91 | Burdea           | 414 | 6      | 08/21/89 |

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| ECS | A76  | 5,255,211 | 10/19/93 | Redmond          | 364 | 578   | 02/22/90 |
|-----|------|-----------|----------|------------------|-----|-------|----------|
| ĺ   | A77  | 5,264,768 | 11/23/93 | Gregory et al.   | 318 | 561   | 10/06/92 |
|     | A78  | 5,266,875 | 11/30/93 | Slotine et al.   | 395 | 99x   | 05/01/91 |
|     | A79  | 5,354,162 | 10/11/94 | Burdea et al.    | 414 | 5     | 10/11/94 |
|     | A80  | 5,382,885 | 01/17/95 | Salcudean et al. | 318 | 568.1 | 08/09/93 |
|     | A81  | 5,389,865 | 02/14/95 | Jacobus et al.   | 318 | 568.1 | 12/02/92 |
|     | A82  | 5,396,265 | 03/07/95 | Ulrich et al.    | 345 | 158   | 09/17/90 |
|     | A83  | 5,414,337 | 05/09/95 | Schuler          | 318 | 561   | 06/11/93 |
|     | A84  | 5,429,140 | 07/04/95 | Burdea et al.    | 128 | 774   | 06/04/93 |
|     | A85  | 5,438,529 | 08/01/95 | Rosenberg et al. | 364 | 709.1 | 01/26/94 |
|     | A86  | 5,459,382 | 10/17/95 | Jacobus et al.   | 318 | 568.1 | 06/09/94 |
|     | A87  | 5,482,051 | 01/09/96 | Reddy et al.     | 128 | 733   | 04/06/94 |
|     | A88  | 5,489,830 | 02/06/96 | Fernandez        | 318 | 628   | 09/01/94 |
|     | A89  | 5,497,452 | 03/05/96 | Shimizu et al.   | 395 | 120   | 03/02/92 |
|     | A90  | 5,515,078 | 05/07/96 | Greschler et al. | 345 | 156   |          |
|     | A91  | 5,555,894 | 09/17/96 | Doyama et al.    | 128 | 782   | 05/02/94 |
|     | A92  | 5,559,412 | 09/24/96 | Schuler          | 318 | 561   | 05/03/95 |
|     | A93  | 5,576,727 | 11/19/96 | Rosenberg et al. | 345 | 179   | 06/05/95 |
|     | A94  | 5,587,937 | 12/24/96 | Massie et al.    | 364 | 578   | 04/25/95 |
|     | A95  | 5,589,854 | 12/31/96 | Tsai             | 345 | 161   | 06/22/95 |
|     | A96  | 5,623,582 | 04/22/97 | Rosenberg        | 395 | 99    | 07/14/94 |
|     | A97  | 5,623,642 | 04/22/97 | Katz et al.      | 395 | 500   | 04/06/94 |
|     | A98  | 5,625,576 | 04/29/97 | Massie et al.    | 364 | 578   | 10/01/93 |
|     | A99  | 5,629,594 | 05/13/97 | Jacobus et al.   | 318 | 568.1 | 10/16/95 |
|     | A100 | 5,642,469 | 06/24/97 | Hannaford et al. | 395 | 99    | 11/03/94 |
| ECS | A101 | 5,666,138 | 09/09/97 | Culver           | 345 | 161   | 11/22/94 |

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| ECS    | A50 | 5,007,300 | 04/16/91 | Siva               | 74  | 471 X  | 01/22/90 |
|--------|-----|-----------|----------|--------------------|-----|--------|----------|
|        | A51 | 5,018,922 | 05/28/91 | Yoshinada et al.   | 414 | 5      | 09/12/89 |
|        | A52 | 5,019,761 | 05/28/91 | Kraft              | 318 | 568.1  | 02/21/89 |
|        | A53 | 5,038,089 | 08/06/91 | Szakaly            | 318 | 568.1  | 10/28/88 |
|        | A54 | 5,040,306 | 08/20/91 | McMurtry et al.    | 33  | 556    | 02/20/89 |
|        | A55 | 5,044,956 | 09/03/91 | Behensky et al.    | 434 | 45     | 01/12/89 |
|        | A56 | 5,053,975 | 10/01/91 | Tsuchihashi et al. | 364 | 513    | 06/08/89 |
|        | A57 | 5,072,361 | 12/10/91 | Davis et al.       | 364 | 167    | 02/01/90 |
|        | A58 | 5,088,046 | 02/11/92 | McMurtry           | 364 | 474    | 12/19/88 |
| $\top$ | A59 | 5,088,055 | 02/11/92 | Oyama              | 364 | 560    | 02/22/90 |
|        | A60 | 5,103,404 | 04/07/92 | McIntosh           | 318 | 568.2  | 12/20/89 |
|        | A61 | 5,105,367 | 04/14/92 | Tsuchihashi et al. | 395 | 99     | 10/16/89 |
|        | A62 | 5,116,051 | 05/26/92 | Moncrief et al.    | 273 | 448 B  | 06/08/90 |
| _      | A63 | 5,116,180 | 05/26/92 | Fung et al.        | 414 | 5      | 05/03/90 |
| $\top$ | A64 | 5,130,632 | 07/14/92 | Ezawa et al.       | 318 | 568.1  | 12/05/90 |
|        | A65 | 5,131,844 | 07/21/92 | Marinaccio et al.  | 433 | 72     | 04/08/91 |
|        | A66 | 5,142,931 | 09/01/92 | Menahem            | 74  | 471 XY | 02/14/91 |
|        | A67 | 5,143,505 | 09/01/92 | Burdea et al.      | 414 | 5      | 02/26/91 |
|        | A68 | 5,184,319 | 02/02/93 | Kramer             | 364 | 806    | 02/02/90 |
|        | A69 | 5,185,561 | 02/09/93 | Good et al.        | 318 | 432    | 07/23/91 |
| 1      | A70 | 5,189,806 | 03/02/93 | McMurtry et al.    | 33  | 503    | 08/17/92 |
| 1      | A71 | 5,193,963 | 03/16/93 | McAffee et al      | 414 | 5      | 10/01/90 |
|        | A72 | 5,204,824 | 04/20/93 | Fujimaki           | 364 | 474    | 08/23/90 |
| 1      | A73 | 5,220,260 | 06/15/93 | Schuler            | 318 | 561    | 10/24/91 |
| 1      | A74 | 5,223,776 | 06/29/93 | Radke et al.       | 318 | 568.1  | 12/31/90 |
| ECS    | A75 | 5,239,246 | 08/24/93 | Kim                | 318 | 568.1  | 07/08/92 |

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| ECS | A102 | 5,691,898 | 11/25/97 | Rosenberg et al. | 364 | 190 | 03/28/96   |
|-----|------|-----------|----------|------------------|-----|-----|------------|
| (   | A103 | 5,694,013 | 12/02/97 | Stewart et al.   | 318 | 561 | 09/06/96   |
|     | A104 | 5,701,140 | 12/23/97 | Rosenberg et al. | 345 | 156 | 07/12/94   |
|     | A105 | 5,721,566 | 02/24/98 | Rosenberg et al. | 345 | 161 | . 06/09/95 |
|     | A106 | 5,724,264 | 03/03/98 | Rosenberg et al. | 364 | 559 | 08/07/95   |
|     | A107 | 5,731,804 | 03/24/98 | Rosenberg        | 345 | 156 | 01/18/95   |
|     | A108 | 5,734,373 | 03/31/98 | Rosenberg et al. | 345 | 161 | 12/01/95   |
|     | A109 | 5,737,505 | 04/07/98 | Shaw et al.      | 395 | 119 | 10/15/96   |
|     | A110 | 5,739,811 | 04/14/98 | Rosenberg et al. | 345 | 161 | 09/27/95   |
|     | A111 | 5,742,278 | 04/21/98 | Chen et al.      | 345 | 156 | 11/01/95   |
|     | A112 | 5,751,289 | 05/12/98 | Myers            | 345 | 419 | 01/16/96   |
|     | A113 | 5,754,023 | 05/19/98 | Roston et al.    | 318 | 561 | 10/22/96   |
|     | Al14 | 5,767,839 | 06/16/98 | Rosenberg        | 345 | 161 | 03/03/95   |
|     | A115 | 5,769,640 | 06/23/98 | Jacobus et al.   | 434 | 262 | 08/10/95   |
|     | A116 | 5,784,542 | 07/21/98 | Ohm et al.       | 395 | 95  | 10/23/96   |
|     | A117 | 5,790,108 | 08/04/98 | Salcudean et al. | 345 | 184 | 10/23/92   |
|     | A118 | 5,798,752 | 08/25/98 | Buxton et al.    | 345 | 146 | 02/27/95   |
|     | A119 | 5,800,177 | 09/01/98 | Gillio           | 434 | 262 | 07/11/96   |
|     | A120 | 5,800,178 | 09/01/98 | Gillio           | 434 | 262 | 07/11/96   |
|     | A121 | 5,800,179 | 09/01/98 | Bailey           | 434 | 262 | 07/23/96   |
|     | A122 | 5,802,353 | 09/01/98 | Avila et al.     | 395 | 500 | 06/12/96   |
|     | A123 | 5,803,738 | 09/08/98 | Latham           | 434 | 29  | 08/05/96   |
|     | A124 | 5,805,140 | 09/08/98 | Rosenberg et al. | 345 | 161 | 11/17/95   |
|     | A125 | 5,821,920 | 10/13/98 | Rosenberg et al. | 345 | 156 | 03/28/97   |
|     | A126 | 5,825,308 | 10/20/98 | Rosenberg        | 341 | 20  | 11/26/96   |
| ECS | A127 | 5,828,197 | 10/27/98 | Martin, et al.   | 318 | 567 | 10/25/96   |

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| ECS           | A128 | 5,831,408          | 11/03/9  | Jacobus,        | et al.         |            | 318 | 568.1          | 05/                                    | 12/97                    |
|---------------|------|--------------------|----------|-----------------|----------------|------------|-----|----------------|--|--------------------------|
| 1             | A129 | 5,844,392          | 12/01/9  | 98 Peurach      | Peurach et al. |            | 318 | 568.2          | 05/                                    | 21/97                    |
| $\top$        | A130 | 5,859,934          | 01/12/9  | 99 Green        |                |            | 382 | 296            | 01/                                    | 14/97                    |
|               | A131 | 5,880,714          | 03/09/9  | 99 Rosenbe      | rg et al.      |            | 345 | 156            | 01/                                    | 15/97                    |
|               | A132 | 5,889,670          | 03/30/9  | 99 Schuler      | et al.         |            | 364 | 186            | 01/                                    | 11/96                    |
|               | A133 | 5,903,456          | 05/11/9  | 99 Schena e     | et al.         |            | 364 | 190            | 01/                                    | 27/97                    |
|               | A134 | 5,907,487          | 05/25/9  | 99 Rosenbe      | rg et al.      |            | 364 | 190            | . 04/                                  | 02/97                    |
|               | A135 | 5,913,727          | 06/22/9  | 9 Ahdoot        |                |            | 463 | 39             | 06/                                    | 13/97                    |
|               | A136 | 5,929,607          | 07/27/9  | 99 Rosenbe      | rg et al.      |            | 320 | 166            | 04/                                    | 02/97                    |
|               | A137 | 5,929,846          | 07/27/9  | 99 Rosenbe      | rg et al.      |            | 345 | 161            | 06/                                    | 05/97                    |
|               | A138 | 5,956,484          | 09/21/9  | 99 Rosenbe      | rg et al.      |            | 395 | 200.3          | 08/                                    | 01/96                    |
|               | A139 | 6,084,587          | 07/04/0  | 00 Tarr et a    | 1.             |            | 345 | 419            | 02/                                    | 08/96                    |
|               | A140 | 6,191,796 B1       | 02/20/0  | ) l Tarr        |                |            | 345 | 433            | 01/                                    | 21/98                    |
|               | A141 | 6,337,678 B1       | 01/20/0  | 02 Fish         |                | •          | 345 | 156            | 07/                                    | 21/99                    |
| V             | A142 | 6,342,880 B2       | 01/20/0  | 2 Rosenbe       | rg et al.      |            | 345 | 161            | 10/                                    | 06/99                    |
| ECS           | A143 | D. 377,932         | 02/11/9  | 97 Schena e     | et al.         |            | D14 | 114            | 10/                                    | 31/95                    |
|               | 1    |                    | FOREI    | GN PATE         | NT DOC         | UME        | NTS | '              | ······································ |                          |
| EXAM<br>INIT. |      | DOCUMENT<br>NUMBER | DATE     | COUNTRY<br>CODE | CLASS          | SUB<br>CLA | 1   | FILING<br>DATE | ABSTRA<br>CT<br>ONLY                   | ENGLISH<br>LANG<br>(Y/N) |
| BS            | B1   | WO 95/02801        | 01/26/95 | PCT             | G01B           | 7/03       |     | 07/12/94       | N                                      | Y                        |
| i             | B2   | WO 96/16397        | 05/30/96 | PCT             | G09G           | 5/08       |     | 11/22/95       | N                                      | Y                        |
|               | В3   | WO 96/22591        | 07/25/96 | PCT             | G09G           | 5/00       |     | 01/17/96       | N                                      | Y                        |
| $\top$        | B4   | WO 96/42078        | 12/27/96 | PCT             | G09G           | 3/02       | 1   | 06/07/96       | N                                      | Y                        |
|               | B5   | WO 97/06410        | 02/20/97 | PCT             | G01C           | 7/00       | 1   | 07/29/96       | N                                      | Y                        |
| V             | В6   | WO 97/12337        | 04/03/97 | PCT             | G06F           | 19/0       | 0   | 09/25/96       | N                                      | Y                        |
| pt (          | B7   | WO 97/12357        | 04/03/97 | PCT             | G09G           | 5/00       | 7   | 09/25/96       | N                                      | Y                        |

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|----------------|-----|---|----------------|---------------|--|---|-----------------|-------------|-------------------|
| ET             | B8  | WO 97/19440   | 05/29/97       | PCT           | G09G   | 5/00  | 11/05/96        | N           | Y                 |
|                | B9  | WO 97/21160   | 06/12/97       | PCT           | G06F   | N/A   | 11/26/96        | N           | Y                 |
|                | B10 | WO 97/44775   | 11/27/97       | PCT           | G09G<br>G06G<br>B25J<br>G05B<br>G06F<br>G06G<br>A03B | 5/08<br>3/00<br>9/18<br>19/19<br>19/408<br>17/00<br>7/48<br>71/00 | 05/16/97        | N           | Y                 |
|                | B11 | WO 98/06024   | 02/12/98       | РСТ           | G06F   | 3/00<br>15/16<br>17/30  | 07/31/97        | N           | Y                 |
|                | B12 | WO 98/26342   | 06/18/98       | PCT           | G06F   | N/A   | 11/25/97        | N           | Y                 |
|                | B13 | WO 98/30951   | 07/16/98       | PCT           | GO6F   | 3/02  | 01/07/98        | N           | Y                 |
|                | B14 | WO 98/58308   | 12/23/98       | PCT           | GO6F   | 3/033   | 06/15/98        | N           | Y                 |
|                | B15 | WO 98/58323   | 12/23/98       | PCT           | GO6F   | 15/00   | 06/16/98        | N           | Y                 |
| V              | B16 | WO 99/10872   | 03/04/99       | PCT           | GO9G   | 5/00  | 08/21/98        | N           | Y                 |
| ecs            | B17 | EP 0 915 434<br>A2  | 05/12/99       | EP            | GO6T   | 15/50   | 05/14/98        | N           | Y                 |
| !              |     |   | OTHER A        | RT, JOUR      | NAL ART  | ICLES, E  | ETC.            |             |                   |
| EXAM.<br>INIT. |     | OTHER DOC   | UMENTS: (1     | Including A   | ıthor, Title, l                                      | Date, Relev   | ant Pages, Pla  | ace of Pub  | lication)         |
| EG             | Cl  | Annual Internat   | ional Symposi  | um, Septemb   | oer 18-22, 199                                       | 93, Seattle V   | WA, pgs. 162-   | 168.        | E Virtual Reality |
| 1              | C2  | Agrawala et al.,  |                |               |  |   |                 |             |                   |
|                | C3  | Atkinson et al.,  |                |               |  |   |                 |             |                   |
|                | C4  | Avila et al., "A Haptic Interaction Method for Volume Visualization," GE Corporate Research & Development, Schenectady, NY, pp. 1-9 (1996). |                |               |  |   |                 |             | ch &              |
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